

§ 502.1

- 502.285 Investigational hearings.
- 502.286 Compulsory process.
- 502.287 Depositions.
- 502.288 Reports.
- 502.289 Noncompliance with investigational process.
- 502.290 Rights of witness.
- 502.291 Nonpublic proceedings.

Subpart S—Informal Procedure for Adjudication of Small Claims

- 502.301 Statement of policy.
 - 502.302 Limitations of actions.
 - 502.303 [Reserved]
 - 502.304 Procedure and filing fee.
 - 502.305 Applicability of other rules of this part.
- EXHIBIT No. 1 TO SUBPART S [§502.304(a)]—SMALL CLAIM FORM FOR INFORMAL ADJUDICATION AND INFORMATION CHECKLIST
- EXHIBIT No. 2 TO SUBPART S [§502.304(e)]—RESPONDENT'S CONSENT FORM FOR INFORMAL ADJUDICATION

Subpart T—Formal Procedure for Adjudication of Small Claims

- 502.311 Applicability.
- 502.312 Answer to complaint.
- 502.313 Reply of complainant.
- 502.314 Additional information.
- 502.315 Request for oral hearing.
- 502.316 Intervention.
- 502.317 Oral argument.
- 502.318 Decision.
- 502.319 Date of service and computation of time.
- 502.320 Service.
- 502.321 Applicability of other rules of this part.

Subpart U—Alternative Dispute Resolution

- 502.401 Policy.
- 502.402 Definitions.
- 502.403 General authority.
- 502.404 Neutrals.
- 502.405 Confidentiality.
- 502.406 Arbitration.
- 502.407 Authority of the arbitrator.
- 502.408 Conduct of arbitration proceedings.
- 502.409 Arbitration awards.
- 502.410 Representation of parties.
- 502.411 Mediation and other alternative means of dispute resolution.

Subpart V—Implementation of the Equal Access to Justice Act in Commission Proceedings

- 502.501 General provisions.
- 502.502 Information required from applicants.

46 CFR Ch. IV (10–1–03 Edition)

- 502.503 Procedures for considering petitions.

Subpart W—Compromise, Assessment, Mitigation, Settlement, and Collection of Civil Penalties

- 502.601 Purpose and scope.
- 502.602 Definitions.
- 502.603 Assessment of civil penalties: Procedure; criteria for determining amount; limitations; relation to compromise.
- 502.604 Compromise of penalties: Relation to assessment proceedings.
- 502.605 Payment of penalty: Method; default.

APPENDIX A TO SUBPART W—EXAMPLE OF COMPROMISE AGREEMENT TO BE USED UNDER 46 CFR 502.604

Subpart X—Paperwork Reduction Act

- 502.991 OMB control numbers assigned pursuant to the Paperwork Reduction Act.

AUTHORITY: 5 U.S.C. 504, 551, 552, 553, 556(c), 559, 561–569, 571–596; 5 U.S.C. 571–584; 12 U.S.C. 1141j(a); 18 U.S.C. 207; 26 U.S.C. 501(c)(3); 28 U.S.C. 2112(a); 31 U.S.C. 9701; 46 U.S.C. app. 817d, 817e, 1114(b), 1705, 1707–1711, 1713–1716; E.O. 11222 of May 8, 1965, 30 FR 6469, 3 CFR, 1964–1965 Comp. P. 306; 21 U.S.C. 853a; Pub. L. 105–258, 112 Stat. 1902.

SOURCE: 49 FR 44369, Nov. 6, 1984, unless otherwise noted.

Subpart A—General Information

§ 502.1 Scope of rules in this part.

The rules in this part govern procedure before the Federal Maritime Commission, hereinafter referred to as the “Commission,” under the Merchant Marine Act, 1920, Merchant Marine Act, 1936, Shipping Act of 1984, as amended by the Ocean Shipping Reform Act of 1998, Administrative Procedure Act, and related acts, except that subpart R of this part does not apply to proceedings subject to sections 7 and 8 of the Administrative Procedure Act, which are to be governed only by subparts A to Q inclusive, of this part. They shall be construed to secure the just, speedy, and inexpensive determination of every proceeding. To this end, all persons involved in proceedings conducted under the rules of this part shall be required to consider at an early stage of the proceeding whether resort to alternative dispute resolution

Federal Maritime Commission

§ 502.8

techniques would be appropriate or useful. [Rule 1.]

[49 FR 44369, Nov. 6, 1984, as amended at 58 FR 38649, July 19, 1993; 64 FR 7807, Feb. 17, 1999]

§ 502.2 Filing of documents; hours; mailing address.

(a) For purposes of filing of documents with the Commission, the hours of the Commission are from 8:30 a.m. to 5:00 p.m., Monday to Friday, inclusive.

(b) Except for exhibits filed pursuant to § 502.118(b)(4) and petitions for review of final agency orders served on the Commission pursuant to 28 U.S.C. 2112(a), all documents required to be filed in, and correspondence relating to proceedings governed by this part should be addressed to *Secretary, Federal Maritime Commission, Washington, DC 20573-0001*. Petitions for review of final agency orders served on the Commission pursuant to 28 U.S.C. 2112(a) shall be addressed to *General Counsel, Office of the General Counsel, Federal Maritime Commission, Washington, DC 20573-0001*.

(c) Documents relating to any matter pending before the Commissioners for decision or to any matter pending before the Commission which is likely to come before the Commissioners for decision, whether or not relating to proceedings governed by this part, shall similarly be filed with the Secretary, Federal Maritime Commission. Such documents should not be filed with or separately submitted to the offices of individual Commissioners. Distribution to Commissioners and other agency personnel is handled by the Office of the Secretary, to ensure that persons in decision-making and advisory positions receive in a uniform and impersonal manner identical copies of submissions, and to avoid the possibility of ex parte communications within the meaning of § 502.11. These considerations apply to informal and oral communications as well, such as requests for expedited consideration.

(d) No filings relating to matters scheduled for a Commission meeting will be accepted by the Secretary if submitted subsequent to public announcement of the particular meeting, except that the Commission, on its own initiative, or pursuant to a written re-

quest, may in its discretion, permit a departure from this limitation for exceptional circumstances. (See § 503.82(e) of this chapter.)

(e) Any pleading, document, writing or other paper submitted for filing which is rejected because it does not conform to the rules in this part shall be returned to the sender. [Rule 2.]

[52 FR 27002, July 17, 1987, as amended at 53 FR 13270, Apr. 22, 1988; 64 FR 7807, Feb. 17, 1999]

§ 502.3 Compliance with rules or orders of Commission.

Persons named in a rule or order shall notify the Commission during business hours on or before the day on which such rule or order becomes effective whether they have complied therewith, and if so, the manner in which compliance has been made. If a change in rates is required, the notification shall specify the tariffs which effect the changes. [Rule 3.]

§ 502.4 Authentication of rules or orders of Commission.

All rules or orders issued by the Commission in any proceeding covered by this part shall, unless otherwise specifically provided, be signed and authenticated by seal by the Secretary of the Commission in the name of the Commission. [Rule 4.]

§§ 502.5-502.6 [Reserved]

§ 502.7 Documents in foreign languages.

Every document, exhibit, or other paper written in a language other than English and filed with the Commission or offered in evidence in any proceeding before the Commission under this part or in response to any rule or order of the Commission pursuant to this part, shall be filed or offered in the language in which it is written and shall be accompanied by an English translation thereof duly verified under oath to be an accurate translation. [Rule 7.]

§ 502.8 Denial of applications and notice thereof.

Except in affirming a prior denial or where the denial is self-explanatory, prompt written notice will be given of